

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10598035	
	Filing Date		2006-08-16	
	First Named Inventor	DIRKSEN		
	Art Unit			
	Examiner Name			
Attorney Docket Number		NL040205		

U.S. PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

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Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20010053489	A1	2001-12-20	DIRKSEN P. ET AL	

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Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² j	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1	GB2320768		A	1998-07-01	HYUNDAI ELECTRONICS INDUSTRIES., LTD.		<input type="checkbox"/>
	2	EP0658810		A	1995-06-21	ZEISS, CARL		<input type="checkbox"/>
	3	WO20030056392		A1	2003-07-10	KPENV		<input type="checkbox"/>

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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1	DIRKSEN, J. ET AL: "ABERRATION RETRIEVAL USING THE EXTENDED NUBOER-ZERNIKE APPROACH"; JOURNAL OF MICROLITHOGRAPHY, MICROFABRICATION AND MICROSYSTEMS, VOL. 2 ISSUE 1, PP. 61-68, JANUARY 2003.	<input type="checkbox"/>
	2	AHN, CHANG-NAM ET AL: "A NOVEL APPROXIMATE MODEL FOR RESIST PROCESS"; HYUANDAI ELECTRONICS INDUSTRIES CO., LTD; SPIE VOL. 3334, PP. 752-763.	<input type="checkbox"/>

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¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Aaron M. Waxler/	Date (YYYY-MM-DD)	2007-02-22
Name/Print	AARON WAXLER	Registration Number	48027

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